

SBIR Granting

Budget

The Omnibus SBIR and STTR PAs are “merely guidelines.” The individual institutes can fund whatever they want.

STTR Omnibus (PA-13-089):

Phase I, \$150,000 for one year

Phase II, \$1 million for 2 years

<http://grants.nih.gov/grants/funding/sbir.htm>

A diagram consisting of three orange arrows. One arrow starts from the STTR Omnibus section and points to the URL. Another arrow starts from the SBIR Omnibus section and points to the same URL. A third arrow starts from the URL and points down towards the SBA guidelines section.

SBIR Omnibus (PA-13-088):

Phase I, \$150,000 for 6 months

Phase II, \$1 million for 2 years

The Small Business Administration (SBA) has posted guidelines on topics for which funding exceeding the cap is allowed, including pretty much everything to do with developing therapies.

NINDS Omnibus (pg 104 of this: http://grants.nih.gov/grants/funding/sbirsttr1/2012-2_SBIR-STTR-topics.pdf)

Phase I, not be greater than \$350,000 / yr for up to 2 years

Phase II, 1 million/ yr for up to 3 years

Principal Investigator

For SBIR the contact PI must be from the Small Business Concern (SBC).

For STTR the contact PI can be at the university

For SBIR and STTR we can have multiple PIs (3 or more is ok)

For SBIR and STTR the grant must be submitted by the SBC

The grants are always awarded to the SBC and the university is funded through a subcontract.

Fundability

STTR vs SBIR

It differs by the year of submission so there is some chance involved. For STTR there tends to be fewer submissions and the total money set aside for STTRs tends to be lower than for SBIRs.

Even though the two program announcements are slightly different where the STTR Omnibus describes support between SBC and non-profits, NINDS doesn't really care if we choose SBIR or STTR. But once you are in you cannot change tracks. So pick the most appropriate for your objective.

Commercialization

For the Phase I submission, need to say something about the market. This can be very short and has to fit into the 6 page limit.

In the Phase II submission there needs to be a detailed 10 page commercialization plan, also making reference to the current market situation.

Milestones

Make clear milestones. State in Phase I submission that you will achieve a b and c that will allow x y and z to be accomplished in Phase II.

In the Phase II submission point to the a b and c milestones you achieved in Phase I and how they allow you to accomplish milestones x y & z.

Other notes:

Ask TCO for examples.

Suggest a study section.

Make a great budget justification.

Budget is not modular.

“ask for what you need”

SBIR contact PI should be 67% with the company.

Ensure balanced partnerships

Market Section: explain what makes this grant idea unique.

Phrasing / jargon is very important: be market oriented. Use phrases like “drug development pipeline”

Current market: Rare diseases / orphan diseases.

Be product focused...Milestones and market support the product.

Be early in target identification.

Think about your Phase II and milestones in Phase I supporting Phase II

Phase II commercialization plan main points need to be outlined in Phase I proposal

Phase II efficacy testing

Review criteria: Significance, Investigators, Innovation, Approach, Environment, Clear and measureable goals (milestones), letters of interest, additional funding commitments or resources to enhance commercialization.

Activity code:

SBIR Phase 1: R43

Activity Code	Category	Title	Description	Resources
R43	Research Projects	Small Business Innovation Research Grants (SBIR) - Phase I	To support projects, limited in time and amount, to establish the technical merit and feasibility of R&D ideas which may ultimately lead to a commercial product(s) or service(s).	More Information

Page Limits

Section of Application	Activity Codes	Page Limits * (if different from FOA, FOA supersedes)
Introduction to Resubmission and Revision Applications	For all Activity Codes, and for each project and core of multi-component applications	1
Specific Aims	For all Activity Codes that use an application form with the Specific Aims section	1
Research Strategy	For Activity Code DP1	5
	For Activity Codes R03, R13, U13, R13/U13, R21, R36, R41, R43, SC2, SC3, X01 ¹	6
	For Activity Code DP2	10
	For Activity Codes R01, U10, R15, R18, U18, R21/R33, R24, R28, R33, R34, U34, R42, U42, R44, U44, DP3, DP5, G08, G11, G13, SC1, SI2, single project U01, UH2, UH3, UC4, UH2/UH3, X01 ¹ , X02	12
	For UM1 Applications	6, 12, or 30 pages
	For each project and core of multi-component applications, such as Program Project/Center (P) and multi-component U applications.	Generally 6 or 12 pages**
	For all other Activity Codes	Follow FOA Instructions
Commercialization Plan	For R42 and R44	12
Biographical Sketch	For all Activity Codes except DP1 and DP2	4
	For DP1 and DP2	2

The grant instructions are in the SF424 SBIR/STTR Application Guide

http://grants.nih.gov/grants/funding/424/SF424_RR_Guide_SBIR_STTR_Adobe_VerB.pdf

Standard Receipt Dates:

April 5, Aug 5, Dec 5



"It's a one-year timer. It gives an added sense of urgency to my research grant."

Contacts



Stefanie Fertig

Research Project Manager, Bethesda

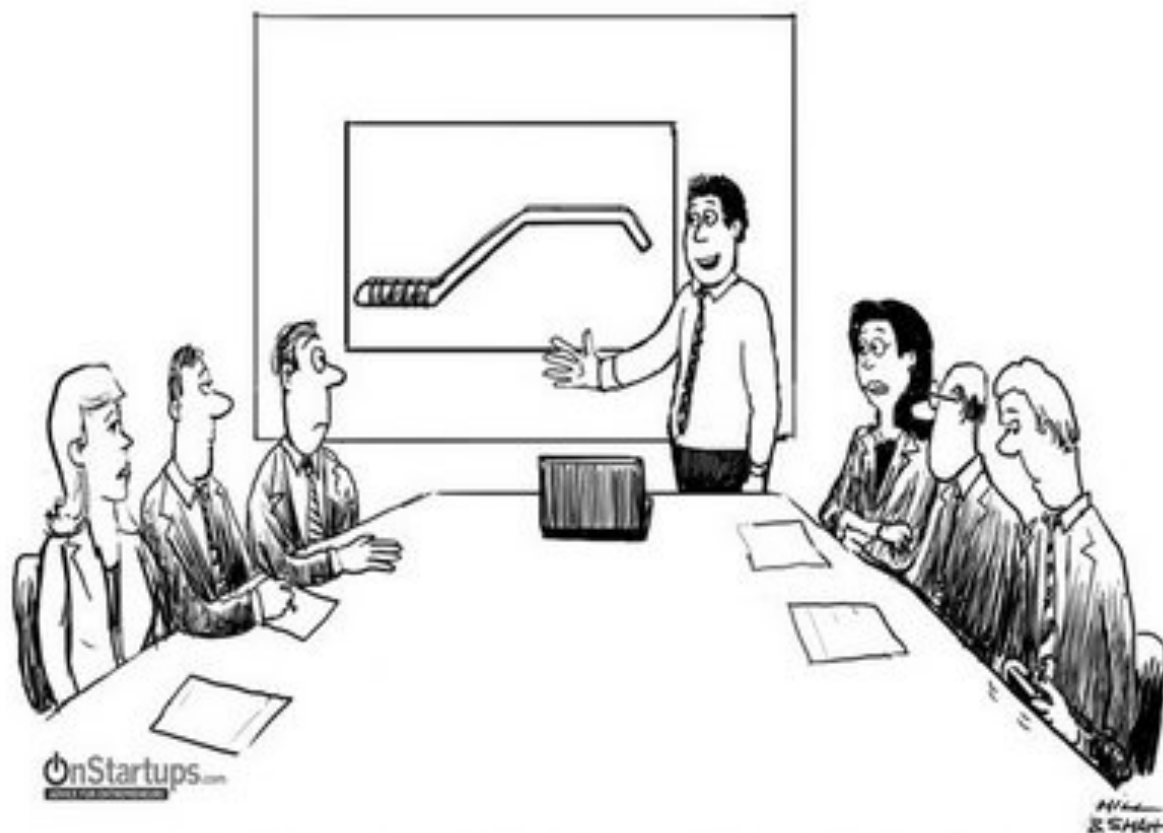
NINDS Science/Research Contact for SBIR/STTR

sf260n@nih.gov

301-496-1447

She prefers email and says she likes to make quick answers to short questions

FLAT IS THE NEW UP: HOCKEY STICK 2.0



OUR NEW HOCKEY STICK DESIGN IS ALREADY BEING
EMBRACED BY ENTREPRENEURS AND MBA STUDENTS WORLDWIDE.

\$150,000 isn't the
real SBIR Phase I
award limit.

\$1 million isn't the
real Phase II max.

*The better you
understand what NIH
SBIRs can really pay,
the better you'll be able
to decide how much to
ask for.*

